

開立香港交易所中央結算系統附寄結單服務的獨立戶口申請表 SSA Account Opening Form (中央結算編號 CCASS ID:B02116)

客戶名稱：

Account Name: _____

證券帳戶號碼：

Account No.: _____ (AE. 經紀 _____)

1. Subscribe to SMS 接收通訊指示:

(SMS fee will be collected by the mobile telephone service provider

電訊公司會按每次 SMS 自行向客戶收費)

Mobile telephone number 手機號碼: _____ Yes 是 No 否

Mobile telephone service provider 手機服務供應商: _____

2. Subscribe to Email Alert 接收電郵指示

(free of charge 免費)

Yes 是 No 否

3. Receive statement via mail 郵遞收取結單

(monthly fee of HK\$10.00 will be collected 郵遞收取結單每月收費 HK\$10.00)

(receive statement via email — free of charge 如用電郵收取結單費用全免)

Yes 是 No 否

4. Affirmation of STI required 要求確認 STI (股份轉移指示)

Yes 是 No 否

Important Notice:

For clients who choose to affirm every STI, please make sure to make affirmation to approve the transfer of the stock from your segregated account to the clearing account for settlement through telephone system or web site of the Hong Kong Securities Clearing Co. Ltd on or before the settlement date after each trading transaction. In case of a sale transaction, if client fails to affirm the STI on or before the settlement date, the transaction will be deemed by HKEX to be a short sale. The HKEX will buy back the stock in the open market and client will be responsible to pay for the price difference and all relevant charges and expenses incurred.

重要提示：

客戶買賣指示被執行及完成後，請切記於交收到期前 (T+2) 自行向結算所以電話或網上進行確認以准許券商把有關之股票從閣下之獨立戶口轉出到結算戶口，如客戶未能於交收到期前 (T+2) 自行向結算所以電話或網上進行確認，該交易 (尤其是沽貨) 將無法進行交收，即被交易所視為沽空，客戶須承擔交易所其後於市場執行買回時之股票差價及所產生之一切費用。

5. Language 語言: English 英文 Traditional Chinese 繁體中文 Simplified Chinese 簡體中文

申請人：

Requested by

批准：

Approved by

S.V.

客戶簽署

Client Signature

Internal Use

Segregated Account opened on _____

Stock Segregated Account No. _____

CCASS Phone User ID _____